

## Arithmetic of Congruence Monoids

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*Abstract:* Let  $\mathbb{N}$  represent the positive integers. Let  $n \in \mathbb{N}$  and  $\Gamma \subseteq \mathbb{N}$ . Set  $\Gamma_n = \{x \in \mathbb{N} : \exists y \in \Gamma, x \equiv y \pmod{n}\} \cup \{1\}$ . If  $\Gamma_n$  is closed under multiplication, it is known as a *congruence monoid* or CM. A classical result of James and Niven [15] is that for each  $n$ , exactly one CM admits unique factorization into products of irreducibles, namely  $\Gamma_n = \{x \in \mathbb{N} : \gcd(x, n) = 1\}$ . In this paper, we examine additional factorization properties of CM's. We characterize CM's that contain primes, we determine elasticity for several classes of CM's and bound it for several others. Also, for several classes we characterize half-factoriality and determine whether the elasticity is accepted and whether it is full.

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## 1. Introduction

Nonunique factorization theory studies the arithmetic properties of commutative, cancellative monoids and domains, where unique factorization fails to hold. For a general reference, see any of [1,2,12]. One large class of such monoids are multiplicative submonoids of the natural numbers. This is quite broad in general, but a particular subclass called arithmetic congruence monoids (ACM's) have received considerable attention recently [4,6,7,8,9,10,16]. These ACM results are surveyed in [2]. The present work considers a generalization of ACM's, still contained within the natural numbers, called congruence monoids (CM's). The arithmetic properties of ACM's are fairly well-understood, and our intention is to determine these properties for CM's. Some previous results concerning CM's may be found in [5,13,15]. More generally, congruence monoids in Dedekind domains have been investigated in [11,14].

Let  $\mathbb{N}$  denote the set of positive integers,  $\mathbb{N}_0$  denote the set of nonnegative integers, and  $\mathbb{P}$  denote the set of rational primes. Fix  $n \in \mathbb{N}$ , and let  $[\cdot]_n$  denote the natural epimorphism from  $\mathbb{N}$  to  $\mathbb{Z}/n\mathbb{Z}$ . Let  $\Gamma \subseteq \mathbb{N}$  be nonempty, and set  $[\Gamma]_n = \{[x]_n : x \in \Gamma\} \subseteq \mathbb{Z}/n\mathbb{Z}$ . We define  $\Gamma_n = \{x \in \mathbb{N} : [x]_n \in [\Gamma]_n\} \cup \{1\}$ . If  $[\Gamma]_n$  is multiplicatively closed, then  $\Gamma_n$  is a multiplicative submonoid of  $\mathbb{N}$  and we call  $\Gamma_n$  a *congruence monoid* (CM). CM's were first introduced in [13], where they were called arithmetical congruence semigroups.

An *arithmetic congruence monoid* (ACM) is a congruence monoid with the added restriction that  $|\Gamma| = 1$ . They are commonly written as  $M_{a,n}$ , where  $\Gamma = \{a\}$ . The ACM's of the special type  $M_{n,n} = \{1\} \cup n\mathbb{N}$  are of particular interest to us in the sequel; so we shall denote them more compactly as  $M(n)$ . The arithmetic properties of an ACM  $M_{a,n}$  are categorized broadly as follows. If  $\gcd(a, n) = 1$ , then in fact  $a = 1$  and the ACM is called *regular*. Otherwise, the ACM is called *singular*. Singular ACM's are further subdivided based on whether  $\gcd(a, n)$  is a prime power (called *local* ACM's) or otherwise (called *global* ACM's).

We now need to define various tools from the theory of nonunique factorization. For a full introduction, see the monograph [12]. For a monoid  $M$ , let  $M^\times$  denote its units and  $M^\bullet$  denote its nonunits. We call  $M$  *reduced* if  $|M^\times| = 1$ . We call  $x \in M^\bullet$  *irreducible* if it cannot be expressed as the product of two nonunits. We denote the set of all *irreducibles* of  $M$  by  $\mathcal{A}(M)$ . Given  $x \in M^\bullet$ , we call  $x_1 x_2 \cdots x_k$  a *factorization of  $x$*  if each term is irreducible and their product is  $x$ . A monoid  $M$  is *atomic* if every  $x \in M^\bullet$  has at least one factorization; all congruence monoids are reduced and atomic, being submonoids of  $\mathbb{N}$ . We call  $x \in M^\bullet$  *prime* if  $x|ab$  (in  $M$ ) implies either  $x|a$  (in  $M$ ) or  $x|b$  (in  $M$ ). It is a standard result that every prime is irreducible; we call an atomic monoid  $M$  *factorial* if every irreducible is prime.

Several important invariants are concerned with the quantity of irreducibles into which an element may be factored. For  $x \in M^\bullet$ , let  $L(x)$  denote the maximum number of irreducibles in a factorization of  $x$  (in our context always finite), and let  $l(x)$  denote the minimum number of irreducibles in a factorization of  $x$ . Let

$\rho(x) = \frac{L(x)}{l(x)}$ , called the *elasticity* of  $x$ . The *elasticity* of  $M$  is defined as  $\rho(M) = \sup\{\rho(x) : x \in M^\bullet\}$ , which may be considered undefined if  $M^\bullet = \emptyset$ . If  $\rho(M) = 1$ , we call  $M$  *half-factorial*; at the other extreme we can have  $\rho(M) = \infty$ . If there is some  $x \in M^\bullet$  such that  $\rho(x) = \rho(M)$ , we say that the elasticity of  $M$  is *accepted*. If for every rational  $q \in [1, \rho(M))$ , there is some  $x_q \in M^\bullet$  with  $\rho(x_q) = q$ , we say that the elasticity of  $M$  is *full*, or  $M$  is *fully elastic*.

For general commutative, cancellative, reduced, atomic monoids  $M, N$  and monoid homomorphism  $\sigma : M \rightarrow N$ , we call  $\sigma$  a *transfer homomorphism* if

- $\sigma(x) \in N^\times$  if and only if  $x \in M^\times$ ,
- $\sigma$  is surjective, and
- If  $x \in M$  and there are  $a, b \in N$  such that  $\sigma(x) = ab$ , then there are  $x', x'' \in M$  such that  $x = x'x''$ ,  $\sigma(x') = a$ , and  $\sigma(x'') = b$ .

In particular, transfer homomorphisms preserve lengths; they are a common tool used in nonunique factorization theory because the elasticity-related invariants for  $M$  coincide with those for  $N$ .

We now begin our study of congruence monoids with several classifying definitions. These are motivated in part by the following well-known lemma.

**Lemma 1.1.** *Let  $\Gamma_n$  be a congruence monoid and  $x, y \in \Gamma_n$ . If  $[x]_n = [y]_n$ , then  $\gcd(x, n) = \gcd(y, n)$ .*

**Proof.** We have  $x = y + kn$  for some  $k \in \mathbb{Z}$ . Because  $\gcd(x, n)$  divides  $x$  and  $n$ ,  $\gcd(x, n)$  also divides  $y$ , and hence  $\gcd(y, n)$ . Reversing the roles of  $x, y$ , we have  $\gcd(y, n)$  divides  $\gcd(x, n)$ , and the result follows.  $\square$

The structure of an ACM  $M_{a,n}$  varies substantially depending on the invariant  $\gcd(a, n)$ . Similarly, the CM structure varies depending on two invariants,  $u, d$ , as defined below. For a particular  $n$  and  $\Gamma$ , we factor  $n = ur$ , choosing  $r$  to be maximal such that  $\gcd(r, g) = 1$  for all  $g \in \Gamma$ . We call  $r$  the *private part* of  $n$ , and  $u$  the *public part* of  $n$ , and observe that  $\gcd(u, r) = 1$ . Analogously, we call (rational) primes dividing  $r$  *private primes*, and primes dividing  $u$  *public primes*. Note that all primes dividing  $n$  are either private or public, but not both; also, for each public prime  $p$ , there is some  $g \in \Gamma$  with  $p|g$ . The rational primes that are neither public nor private are called *external primes*.

If  $u = 1$ , we call  $\Gamma_n$  *regular*; in this case, each  $g \in \Gamma$  satisfies  $\gcd(g, n) = 1$ . If  $\gcd(g, n) = 1$  for at least one  $g \in \Gamma$ , we call  $\Gamma_n$  *weakly regular*. When  $|\Gamma| = 1$ , these notions coincide, and agree with the established definition of regular ACM's.

We now define a related invariant  $d = \gcd(\Gamma \cup \{n\})$ . Note that  $d|u$ , and hence  $1 \leq d \leq u$ . In particular, if  $\Gamma_n$  is regular, then  $u = d = 1$ . If  $\Gamma_n$  is weakly regular, then  $d = 1$ . The converse need not hold; for example,  $\Gamma = \{3, 4, 6\}$  with  $n = 6$  has  $d = 1$ , but is not weakly regular.

If  $d = u$ , we call  $\Gamma_n$  a *J-monoid*. J-monoids are the closest direct generalization of ACM's; results for J-monoids are often similar to those for ACM's. If  $d > 1$ , we call  $\Gamma_n$  *singular*. If  $d > 1$  and  $u$  is a prime power, then we call  $\Gamma_n$  *local*. These generalize the established definitions for singular and local ACM's. We call  $\Gamma_n$  *semi-regular* if it is weakly regular, but not a J-monoid. In particular, if  $\Gamma_n$  is semi-regular, then  $1 = d < u$ . Hence all weakly regular CM's are either regular or semi-regular. Since ACM's are J-monoids, they are never semi-regular.

For  $p \in \mathbb{P}$  and  $x \in \mathbb{N}$ , let  $\nu_p(x)$  denote the largest power of  $p$  that divides  $x$  (as integers). We denote the Euler totient by  $\phi(x)$ . By  $a|b$ , we mean  $a$  divides  $b$  as integers; if  $a, b$  are also members of a monoid, we will establish  $\frac{b}{a}$  in the monoid separately. For  $r \in \mathbb{N}$ , we let  $r^\perp = \{s \in \mathbb{N} : \gcd(s, r) = 1\}$ .

The sequel contains the following results. First, we recall that regular CM's are equivalent to other well-understood monoids, which largely determines their arithmetic properties. For all CM's, the presence of primes is characterized completely. For local J-monoids, we compute elasticity, characterize half-factoriality, and in some cases, determine accepted and full elasticity. More generally, for singular J-monoids we present several transfer homomorphisms. For all local CM's, we have both an exact computation of the elasticity (which is always finite), as well as several bounds using different invariants. Most generally, we determine whether the elasticity is finite for many CM's. We conclude with two elasticity results for semi-regular CM's, and a family of semi-regular examples with infinite and full elasticity (a phenomenon that does not occur in ACM's).

## 2. Structural Results

We first consider regular congruence monoids. The following lemma, found in [12, Example 5.3 (4)], shows that a regular  $\Gamma_n$  is a Krull monoid, with finite class group  $(\mathbb{Z}/n\mathbb{Z})^\times / [\Gamma]_n$ . Krull monoids are well-studied (e.g. in [12]), with finite and accepted elasticity equal to half of the Davenport constant of the block monoid of the class group (or 1, if the Davenport constant is less than 2).

**Lemma 2.1.** *Let  $\Gamma_n$  be a regular congruence monoid. Then  $[\Gamma]_n$  is a subgroup of  $(\mathbb{Z}/n\mathbb{Z})^\times$ .*

**Proof.**  $[\Gamma]_n$  is closed by definition of congruence monoid. For  $[x]_n \in [\Gamma]_n$ ,  $\gcd(x, n) = 1$ . Hence by Euler's Theorem,  $[x]_n^{\phi(n)-1} [x]_n = [1]_n$ , and since  $[\Gamma]_n$  is closed, all of these are in  $[\Gamma]_n$ .  $\square$

Half-factoriality in a Krull monoid is characterized by the class group being of order 1 or 2, which translates into the following result.

**Proposition 2.2.** *A regular congruence monoid  $\Gamma_n$  is half-factorial if and only if  $|[\Gamma]_n| \geq \frac{\phi(n)}{2}$ . It is factorial if and only if  $|[\Gamma]_n| = \phi(n)$ .*

We now turn our attention to prime elements of  $\Gamma_n$ . These are characterized in Theorem 2.4, which first requires the following lemma.

**Lemma 2.3.** *Let  $\Gamma_n$  be a weakly regular congruence monoid. Then  $[1]_n \in [\Gamma]_n$ .*

**Proof.** Because  $\Gamma_n$  is weakly regular, there is some  $g \in (\Gamma \cap n^\perp)$ . By Euler's Theorem,  $g^{\phi(n)} \equiv 1 \pmod{n}$ , and  $[g]_n^{\phi(n)} \in [\Gamma]_n$  since  $[\Gamma]_n$  is closed.  $\square$

We now characterize congruence monoids that contain primes. Henceforth, we will regularly make use, without further comment, of Dirichlet's Theorem on primes in arithmetic progression.

**Theorem 2.4.** *Let  $\Gamma_n$  be a congruence monoid. If  $\Gamma_n$  is weakly regular, then it contains infinitely many primes; if not, then it contains no primes.*

**Proof.** Let  $p \in \mathbb{P}$  be arbitrary with  $p \equiv 1 \pmod{n}$ .

First, suppose that  $\Gamma_n$  is weakly regular. We will prove that  $p$  is prime in  $\Gamma_n$ . We have  $[p]_n = [1]_n$  and, by Lemma 2.3,  $[1]_n \in [\Gamma]_n$ ; so  $p \in \Gamma_n$ . Suppose now that  $p|xy$ , where  $x, y \in \Gamma_n$ . Since  $p$  is a rational prime, we may assume without loss that  $p|x$ ; write  $x = px'$  for some  $x' \in \mathbb{N}$ . Since  $p \equiv 1 \pmod{n}$ , we have  $x \equiv x' \pmod{n}$ , and hence  $[x]_n = [x']_n$ . Since  $x \in \Gamma_n$ , also  $x' \in \Gamma_n$ . Consequently,  $p|x$  in  $\Gamma_n$ , which completes the proof.

Now, suppose that  $\Gamma_n$  is not weakly regular. Let  $x \in \Gamma_n^\bullet$  be arbitrary. Because  $[x]_n = [xp]_n = [xp^2]_n$ ,  $xp, xp^2 \in \Gamma_n$ . However,  $p \notin \Gamma_n$  since  $\gcd(p, n) = 1$ , although by Lemma 1.1,  $\gcd(g, n) > 1$  for all  $g \in \Gamma$ . Now, we have  $x|(xp)(xp)$  in  $\Gamma_n$  because  $x(xp^2) = (xp)(xp)$ , but  $x \nmid xp$  in  $\Gamma_n$  because  $p \notin \Gamma_n$ . Hence  $x$  is not prime in  $\Gamma_n$ .  $\square$

In [3, Corollary 2.2] it is shown that monoids with accepted elasticity and at least one prime have full elasticity. Since regularity implies weak regularity, Theorem 2.4 implies that all regular congruence monoids have full elasticity.

We now produce an explicit element of  $\Gamma$ , based on the factorization  $n = ur$ .

**Theorem 2.5.** *Let  $\Gamma_n$  be a CM with  $n = ur$ . Then  $[u^{\phi(r)}]_n \in [\Gamma]_n$ .*

**Proof.** Write  $u = p_1^{a_1} p_2^{a_2} \cdots p_r^{a_r}$ , where the  $p_1, p_2, \dots, p_r$  are distinct rational primes. Because  $p_1, p_2, \dots, p_r$  are all public primes, there are some  $g_1, g_2, \dots, g_r \in \Gamma$  (not necessarily distinct) such that  $p_1|g_1, p_2|g_2, \dots, p_r|g_r$ . Set  $x = g_1^{a_1} g_2^{a_2} \cdots g_r^{a_r}$ . Because  $[\Gamma]_n$  is closed,  $[x]_n \in [\Gamma]_n$ . Hence, there is some  $y \in \Gamma$  such that  $[x]_n = [y]_n$ . But  $\gcd(x, n) = u$ , so by Lemma 2.1,  $\gcd(y, n) = u$ . Note that  $[y^{\phi(r)}]_n \in [\Gamma]_n$ . We have  $y^{\phi(r)} \equiv 0 \equiv u^{\phi(r)} \pmod{u}$  because  $u|y$ . Also we have  $y^{\phi(r)} \equiv 1 \equiv u^{\phi(r)} \pmod{r}$  via Euler's Theorem because  $\gcd(y, r) = \gcd(u, r) = 1$ . By the Chinese Remainder Theorem,  $y^{\phi(r)}$  and  $u^{\phi(r)}$  are congruent modulo  $\text{lcm}(u, r) = n$ . Hence  $[y^{\phi(r)}]_n = [u^{\phi(r)}]_n$ , and the result follows.  $\square$

In the special case of ACM's,  $|[\Gamma]_n| = 1$ ; so by Theorem 2.5, we see that  $[\Gamma]_n = \{[u^{\phi(r)}]_n\}$ . For fixed  $n$ , there are hence  $2^t$  ACM's, where  $t$  denotes the number of distinct primes dividing  $n$ , and just one of these (corresponding to  $u = 1$ ) is regular. This observation was Proposition 4.1 in [2].

A useful structural ACM result in [4, Theorem 2.1] expresses each ACM as the intersection of a regular ACM and the singular ACM  $M(u)$ . This result is generalized in the following.

**Theorem 2.6.** *Let  $\Gamma \subseteq \mathbb{N}$ ,  $\Gamma_n$  be a congruence monoid, and  $n = ur$ . Then  $\Gamma_r$  is a regular congruence monoid and*

$$M(u) \cap \Gamma_r \subseteq \Gamma_n \subseteq M(d) \cap \Gamma_r.$$

*Further,  $\Gamma_n$  is a J-monoid if and only if  $M(u) \cap \Gamma_r = \Gamma_n$ .*

**Proof.** We first prove that  $\Gamma_r$  is a regular congruence monoid. Let  $x, y \in \Gamma$ . Because  $[\Gamma]_n$  is closed, there is some  $z \in \Gamma$  such that  $[x]_n[y]_n = [z]_n$ . That is,  $ur|(xy - z)$ . But then  $r|(xy - z)$ , so  $[x]_r[y]_r = [z]_r$ . Hence  $[\Gamma]_r$  is closed. If  $\gcd(r, g) > 1$  for any  $g \in \Gamma$ , that would violate the definition of  $r$ ; thus  $\Gamma_r$  is regular. Further, for  $g \in \Gamma$ , if  $[x]_n = [g]_n$ , then  $n|(x - g)$ , and hence  $r|(x - g)$  and  $[x]_r = [g]_r$ . Consequently  $\Gamma_n \subseteq \Gamma_r$ .

The second inclusion is now clear. To prove the first inclusion, let  $x \in (M(u) \cap \Gamma_r)^\bullet$ . Then there is some  $y \in \Gamma$  such that  $x \equiv y \pmod{r}$ . But  $u^{\phi(r)} \equiv 1 \pmod{r}$ , so also  $x \equiv yu^{\phi(r)} \pmod{r}$ . Hence  $r|(x - yu^{\phi(r)}) = u(\frac{x}{u} - yu^{\phi(r)-1})$ , but since  $\gcd(r, u) = 1$  in fact  $r|(\frac{x}{u} - yu^{\phi(r)-1})$ , and thus  $n = ru|(x - yu^{\phi(r)})$ . Hence  $[x]_n = [y]_n[u^{\phi(r)}]_n \in [\Gamma]_n$ .

We now prove the last statement. If  $\Gamma_n$  is a J-monoid, then all the inclusions are equalities. If instead,  $\Gamma_n$  is not a J-monoid, there is some  $g \in \Gamma$  with  $u \nmid g$ . Then  $(g + n) \in \Gamma_n^\bullet \setminus (M(u) \cap \Gamma_r)$ .  $\square$

**Corollary 2.7.** *Let  $\Gamma_n$  be a congruence monoid and  $x, y \in \Gamma_n$ . If  $\frac{x}{y} \in M(u)$  then  $\frac{x}{y} \in \Gamma_n$ .*

**Proof.** By the second inclusion of Theorem 2.6,  $x, y \in \Gamma_r$ , which is regular. By Lemma 2.1, there is some  $z \in \Gamma$  satisfying  $[y]_r[z]_r = [1]_r$ . Since  $\frac{x}{y} \in M(u)$ ,  $\frac{x}{y} \in \mathbb{N}$  and  $[\frac{x}{y}]_r = [x]_r[z]_r \in [\Gamma]_r$ . Hence  $\frac{x}{y} \in M(u) \cap \Gamma_r$ , and we apply the first inclusion of Theorem 2.6.  $\square$

The following theorem generalizes Lemma 2.1 to non-regular congruence monoids. It shows that J-monoids have an implicit group structure.

**Theorem 2.8.** *Let  $\Gamma_n$  be a congruence monoid. Then  $[(M(u) \cap \Gamma_r)^\bullet]_n$  is isomorphic to a subgroup of  $(\mathbb{Z}/r\mathbb{Z})^\times$ . Further, if  $\Gamma_n$  is a J-monoid, then  $[\Gamma]_n = [(M(u) \cap \Gamma_r)^\bullet]_n$ .*

**Proof.** Consider  $\psi : \Gamma_r \rightarrow (M(u) \cap \Gamma_r)^\bullet$  given by  $\psi(x) = u^{\phi(r)}x$ . Let  $t : \mathbb{Z}/n\mathbb{Z} \rightarrow (\mathbb{Z}/u\mathbb{Z}) \times (\mathbb{Z}/r\mathbb{Z})$  be the natural isomorphism. Set  $S = \{t([\psi(x)]_n) : x \in \Gamma_r\} \subseteq (\mathbb{Z}/u\mathbb{Z}) \times (\mathbb{Z}/r\mathbb{Z})$ . In fact, because  $\psi(x) \equiv 0 \pmod{u}$  and  $\psi(x) \equiv x \pmod{r}$ ,  $S = \{0\} \times [\Gamma_r^\bullet]_r = \{0\} \times [\Gamma]_r$ . By Theorem 2.6,  $\Gamma_r$  is a regular congruence monoid; so

we apply Lemma 2.1 to get the first statement. The second statement follows from Theorem 2.6 since  $[\Gamma_n^\bullet]_n = [\Gamma]_n$ .  $\square$

Theorem 2.8 is illustrated by the following example.

**Example 2.9.** Let  $n = 30$  and  $\Gamma = \{1, 4, 14, 16, 26\}$ . We have  $d = 1, u = 2, r = 15$ ; so  $[\Gamma]_{30}$  is semi-regular. We see that  $[(M(2) \cap \Gamma_{15})^\bullet]_{30} = \{[4]_{30}, [14]_{30}, [16]_{30}, [26]_{30}\} \cong (\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/2\mathbb{Z})$ , which is a subgroup of  $(\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/4\mathbb{Z}) \cong (\mathbb{Z}/15\mathbb{Z})^\times$ . The identity in  $(\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/2\mathbb{Z})$  is the image of  $[16]_{30}$ .

Our last result of this section generalizes the analogous ACM result found in [4, Theorem 2.3]. Its condition holds for all non-regular J-monoids, and hence for all non-regular ACM's.

**Theorem 2.10.** *Let  $\Gamma_n$  be a congruence monoid with  $d^2 \nmid u$ . If  $x \in \Gamma_n^\bullet$  is reducible, then  $x + n \in \Gamma_n$  is irreducible.*

**Proof.** We have  $x = yz$  for some  $y, z \in \Gamma_n^\bullet$ . By the second inclusion of Theorem 2.6,  $d^2 \mid x$ . If  $x + n$  were reducible, then  $d^2 \mid (x + n)$ , and hence  $d^2 \mid n$ . But then  $d^2 \mid u$ , contrary to hypothesis.  $\square$

Consequently, if  $\Gamma_n$  satisfies the conditions of Theorem 2.10, then

$$\limsup_{k \rightarrow \infty} \frac{|\mathcal{A}(\Gamma_n) \cap [1, k]|}{|\Gamma_n \cap [1, k]|} \geq \frac{1}{2}.$$

### 3. Elasticity

Recall that in the ACM context, if  $u$  is 1 (the regular case) or  $u$  is a prime power (the local singular case), the elasticity is finite. On the other hand, for all other  $u$  (the global singular case), the elasticity is infinite. We have similar results for congruence monoids, except instead of just  $u$ , we are concerned with both  $u$  and  $d$ . For J-monoids, just as with ACM's, these constants coincide.

We recall the following result from [16, Theorem 12].

**Theorem 3.1.** *Let  $\Gamma_n$  be a congruence monoid. Let  $A = \{x \in \Gamma : \gcd(x, n) > 1\}$ , and let  $B = \{p \in \mathbb{P} : p \mid n, p^k \in \Gamma_n \text{ for some } k \in \mathbb{N}\}$ . Then  $\rho(\Gamma_n) < \infty$  if and only if for all  $x \in A$  there is some  $p \in B$  with  $p \mid x$ .*

We first generalize the ACM finite elasticity result, via  $u$ . The following result handles all local CM's, as well as certain semi-regular CM's.

**Theorem 3.2.** *Let  $\Gamma_n$  be a congruence monoid. If  $u = p^k$  for some  $p \in \mathbb{P}$  and  $k \in \mathbb{N}_0$ , then  $\rho(\Gamma_n) < \infty$ .*

**Proof.** Let  $A, B$  be as in Theorem 3.1. By Theorem 2.5,  $[u^{\phi(r)}]_n \in [\Gamma]_n$ . Setting  $s = k\phi(r)$ , we have  $p^s = u^{\phi(r)}$ ; so  $[p^s]_n \in [\Gamma]_n$  and  $p^s \in \Gamma_n$ . Thus  $p \in B$ . Now let

$x \in A$ . We have  $\gcd(x, u) = \gcd(x, n) > 1$ ; so  $p|x$ . Since  $x \in A$  was arbitrary, the result follows.  $\square$

We now generalize the ACM infinite elasticity result, via  $d$ .

**Theorem 3.3.** *Let  $\Gamma_n$  be a congruence monoid. If  $d = d_1 d_2$  for some  $d_1, d_2 > 1$  with  $\gcd(d_1, d_2) = 1$ , then  $\rho(\Gamma_n) = \infty$ .*

**Proof.** Let  $A, B$  be as in Theorem 3.1. We first prove that  $B = \emptyset$ ; otherwise, let  $p^k \in B$ . Then  $[p^k]_n \in [\Gamma]_n$ ; so there is some  $y \in \Gamma$  with  $[p^k]_n = [y]_n$ . By Lemma 1.1,  $\gcd(y, n) = \gcd(p^k, n) = p^s$  for some  $s \in \mathbb{N}$ . But also  $d | \gcd(y, n)$ , which is a contradiction since  $d$  is not a prime power. We now observe that  $A \neq \emptyset$ , else  $d = 1$ , and the result follows.  $\square$

For J-monoids, Theorems 3.2 and 3.3 characterize finite elasticity. Otherwise, there is no simple way to close the gap, as the following two examples show. For particular cases, one can always apply Theorem 3.1 directly, by calculating  $B$  and checking which elements of  $\Gamma$  have one of these as divisor.

**Example 3.4.** Set  $n = 105 = 3 \cdot 5 \cdot 7$  and  $\Gamma = \{1, 15\}$ . We have  $r = 7, u = 15, d = 1$ ; so Theorems 3.2 and 3.3 do not apply. Applying Theorem 3.1, we have  $A = \{15\}$ , yet  $B = \emptyset$ , and hence  $\rho(\Gamma_n) = \infty$ .

**Example 3.5.** Set  $n = 105$  and  $\Gamma = \{1, 15, 85\}$ . We again have  $r = 7, u = 15, d = 1$ ; so Theorems 3.2 and 3.3 do not apply. Applying Theorem 3.1, we have  $A = \{15, 85\}$ . However, this time  $[85]_n = [5^{30}]_n$ ; so  $B = \{5\}$ . Hence  $\rho(\Gamma_n) < \infty$ .

We now sharpen Theorem 3.2 and compute the elasticity for the local case. An upper bound for elasticity is computed in [16, Theorem 12], but it is not particularly tight.

**Theorem 3.6.** *Let  $\Gamma_n$  be a local congruence monoid, and  $p \in \mathbb{P}$ . Suppose that  $u = p^\alpha$  and  $d = p^\gamma > 1$ . Let  $\delta = \sup\{\nu_p(x) : x \in \Gamma_n, x \text{ irreducible}\}$ . Then  $\rho(\Gamma_n) = \frac{\delta}{\gamma}$ .*

**Proof.** If  $\delta$  is finite, set  $\delta' = \delta$ ; otherwise, set  $\delta'$  to be an arbitrarily large element of  $\{\nu_p(x) : x \in \Gamma_n, x \text{ irreducible}\}$ . We now choose  $s, t \in (\mathbb{P} \cap n^\perp)$  with  $p^{\delta'} s, p^{\gamma} t$  both irreducibles in  $\Gamma_n$ . The former is guaranteed by the definition of  $\delta'$ , while the latter is guaranteed by the definition of  $d$ . Let  $k \in \mathbb{N}$  be arbitrary, and consider the two factorizations  $x = (p^{\delta'} s)^{\gamma \phi(n)k} (p^{\gamma} t)^{\delta' \phi(n)k+1} = (p^{\gamma} t)^{\delta' \phi(n)k} (p^{\gamma} t s)^{\gamma \phi(n)k}$ . We verify that  $\nu_p(x) = \delta' \gamma \phi(n)k + \gamma$ ,  $\nu_s(x) = \gamma \phi(n)k$ ,  $\nu_t(x) = \delta' \phi(n)k + 1$ ; so indeed these are factorizations as integers. Because  $t^{\phi(n)} \equiv 1 \equiv s^{\phi(n)} \pmod{n}$  by Euler's Theorem, we have  $(p^{\gamma} t)^{\delta' \phi(n)k+1} \equiv p^{\gamma} t \equiv (p^{\gamma} t s)^{\gamma \phi(n)k} \pmod{n}$ ; so they are each in  $\Gamma_n$ . Further, they are each irreducible because they have only  $\gamma$  copies of  $p$ , the minimum possible. Consequently,  $L(x) \geq \delta' \phi(n)k + 1$  and  $l(x) \leq \gamma \phi(n)k + 1$ ; so  $\rho(x) \geq \frac{\delta' \phi(n)k+1}{\gamma \phi(n)k+1}$ . Hence  $\rho(\Gamma_n) \geq \frac{\delta' \phi(n)k+1}{\gamma \phi(n)k+1}$  for all  $k \in \mathbb{N}$ ; so indeed  $\rho(\Gamma_n) \geq \frac{\delta'}{\gamma}$ .



If  $\delta = \infty$  we are done, otherwise, we need an upper bound for  $\rho(\Gamma_n)$ . For irreducible  $z \in \Gamma_n$ , we have  $\gamma \leq \nu_p(z) \leq \delta$ . For  $y \in \Gamma_n$ ,  $\gamma L(y) \leq \nu_p(y) \leq \delta l(y)$ , which rearranges to  $\rho(y) = \frac{L(y)}{l(y)} \leq \frac{\delta}{\gamma}$ . Hence  $\rho(\Gamma_n) \leq \frac{\delta}{\gamma}$ .  $\square$

The invariant  $\delta$  in Theorem 3.6 may be difficult to compute; so in the following result, we bound  $\rho(\Gamma_n)$  using other invariants. Note that because  $u = p^\alpha$ , by Theorem 2.5, there is some power of  $p$  that is  $\Gamma_n$ . Let  $\beta$  be minimal such that  $p^\beta \in \Gamma_n$ . We have  $\gamma \leq \beta \leq \alpha\phi(r)$ .

**Theorem 3.7.** *Let  $\Gamma_n$  be a local congruence monoid and  $p \in \mathbb{P}$ . Suppose that  $u = p^\alpha$ ,  $d = p^\gamma > 1$ . Let  $\beta$  be minimal such that  $p^\beta \in \Gamma_n$ . Then*

$$\max\left(\left\lfloor \frac{\gamma + \beta - 1}{\gamma} \right\rfloor, \frac{\psi\beta + \gamma - 1}{\psi\gamma}\right) \leq \rho(\Gamma_n) \leq \frac{\alpha + \beta - 1}{\gamma},$$

where  $\psi = \lceil \frac{\alpha - \gamma + 1}{\beta} \rceil$ .

**Proof.** Let  $\delta = \max\{\nu_p(x) : x \in \Gamma_n, x \text{ irreducible}\}$ , and let  $x \in \Gamma_n$  be irreducible with  $\nu_p(x) = \delta$ . Suppose first that  $\delta \geq \alpha + \beta$ . We have  $p^\beta \in \Gamma_n$  by definition of  $\beta$ . Set  $y = xp^{-\beta} \in \mathbb{N}$ . We have  $\nu_p(y) \geq \alpha$ ; so  $y \in M(u)$  and, by Corollary 2.7,  $y \in \Gamma_n$ . Hence  $x$  is reducible via  $x = p^\beta y$ , a contradiction. Thus  $\delta \leq \alpha + \beta - 1$ , which establishes the right inequality.

Set  $c = \lfloor \frac{\beta - 1}{\gamma} \rfloor$ . Because  $c \leq \frac{\beta - 1}{\gamma}$ , we have  $\beta \geq c\gamma + 1$ . Choose any  $t \in n^\perp$  with  $p^\gamma t \in \Gamma_n$ ; such a  $t$  must exist by definition of  $d$ . Let  $s \in \mathbb{P} \setminus \{p\}$  satisfy  $s \equiv t^{c+1} \pmod{n}$ . Now, set  $x = p^{\gamma(c+1)}s$ . We have  $[x]_n = [(p^\gamma t)^{c+1}]_n = [p^\gamma t]_n^{c+1}$ . Because  $[\Gamma]_n$  is closed,  $x \in \Gamma_n$ . Suppose  $x$  were reducible as  $x = (p^a)(p^b s)$ . By definition of  $d$ ,  $b \geq \gamma$ , and hence  $a \leq \gamma(c+1) - \gamma = \gamma c \leq \beta - 1$ . This contradicts the definition of  $\beta$ . Thus  $x$  is irreducible and  $\delta \geq \gamma(c+1)$ ; applying Theorem 3.6 gives  $\rho(\Gamma_n) \geq \lfloor \frac{\gamma + \beta - 1}{\gamma} \rfloor$ .

We now turn to the last inequality. We assume without loss that there is some  $q_1 \in (\mathbb{P} \cap n^\perp)$  such that  $p^\gamma q_1 \in \Gamma_n$ . Choose  $q_2 \in (\mathbb{P} \cap n^\perp)$  such that  $q_2 \equiv p^{-\psi\beta - \gamma + 1} \pmod{r}$ . We now show that  $x = p^{\psi\beta + \gamma - 1} q_2 \in \Gamma_n$ . First, we have  $\nu_p(x) = \psi\beta + \gamma - 1 \geq \alpha$ , so  $x \equiv 0 \pmod{p^\alpha}$ . Second, we have  $x \equiv 1 \pmod{r}$ . Hence  $[x]_n = [u^{\phi(r)}]_n \in [\Gamma]_n$ , by Theorem 2.5.

Factoring  $x = (p^{s_0} q_2)(p^{s_1})(p^{s_2}) \cdots (p^{s_t})$  into as many irreducibles as possible, we have  $\psi\beta + \gamma - 1 = \nu_p(x) = s_0 + s_1 + \cdots + s_t \geq \gamma + t\beta$ . Rearranging, we get  $t < \psi$ , and hence  $L(x) = t + 1 \leq \psi$ . Now, we set  $\phi = \phi(n)$  and choose (large)  $k \in \mathbb{N}$ . We now consider

$$y = (p^{\psi\beta + \gamma - 1} q_2)^{k\phi\gamma} (p^\gamma q_1)^{k\phi(\psi\beta + \gamma - 1) + 1} = (p^\gamma q_1)^{k\phi(\psi\beta + \gamma - 1)} (p^\gamma q_2)^{k\phi\gamma} q_1$$

Note that since  $q_1^\phi \equiv q_2^\phi \equiv 1 \pmod{n}$ , we have  $[p^\gamma q_1^{k\phi(\psi\beta + \gamma - 1) + 1}]_n = [p^\gamma q_1]_n = [p^\gamma q_2^{k\phi\gamma} q_1]_n$ ; so these terms are in  $\Gamma_n$ . Since  $\gamma$  is minimal, these terms are irreducible.

We now compute

$$\rho(y) \geq \frac{k\phi(\psi\beta + \gamma - 1) + 1}{k\phi\gamma L(x) + 1} \geq \frac{k\phi(\psi\beta + \gamma - 1) + 1}{k\phi\gamma\psi + 1}.$$

Since  $\rho(\Gamma_n) \geq \rho(y)$  for arbitrary  $k$ , the desired bound follows.  $\square$

In the special case of local J-monoids,  $\alpha = \gamma$  and  $\psi = 1$  in Theorem 3.7, giving the exact result  $\rho(\Gamma_n) = \frac{\alpha+\beta-1}{\alpha}$ . This generalizes a result in [6, Theorem 2.4.1] for local singular ACM's.

Another consequence of Theorem 3.7 is the following necessary condition for half-factoriality in local CM's. An exact characterization of this property for J-monoids appears in Proposition 3.9, and for regular congruence monoids in Proposition 2.2. For other congruence monoids, the problem remains open. Congruence monoids with the stronger property of factoriality were characterized 60 years ago in [15].

**Corollary 3.8.** *Let  $\Gamma_n$  be a local congruence monoid. If  $\Gamma_n$  is half-factorial, then  $\gamma = \beta = 1$ .*

**Proof.** We have  $1 = \rho(\Gamma_n) \geq \frac{\beta}{\gamma} + \frac{\gamma-1}{\psi\gamma} \geq 1 + 0$ . All the inequalities are equalities  $\square$

**Proposition 3.9.** *Let  $\Gamma_n$  be a local J-monoid. Then  $\Gamma_n$  is half-factorial if and only if*

- (1)  $u$  is prime, and
- (2)  $[u]_n \in [\Gamma]_n$ .

**Proof.** By Theorem 3.7, we have  $1 = \rho(\Gamma_n) = \frac{\alpha+\beta-1}{\alpha}$  if and only if  $\beta = 1$  (i.e.,  $[u]_n \in [\Gamma]_n$ ). If  $\beta = 1$ , then  $\gamma = 1$  since  $1 \leq \gamma \leq \beta$ ; and since  $\Gamma_n$  is a J-monoid,  $\alpha = \gamma = 1$ , and hence  $u$  is prime. For the other direction, if  $u$  is prime and  $[u]_n \in [\Gamma]_n$ , then  $\beta = 1$ .  $\square$

Half-factoriality of J-monoids is therefore completely characterized by Propositions 2.2 and 3.9, and Theorem 3.3.

The following examples show that both lower bounds of Theorem 3.7 are meaningful and that the upper bound is sometimes, but not always, met.

**Example 3.10.** Let  $n = 128$ ,  $\Gamma = \{16, 20, 64, 128\}$ . We have  $p = 2, \gamma = 2, \beta = 4, \alpha = 7$ , and  $\psi = 2$ . Theorem 3.7 gives  $\max\{2, 2.25\} \leq \rho(\Gamma_n) \leq 5$ .

**Example 3.11.** Let  $n = 1280$ ,  $\Gamma = \{32, 188, 192, 256, 512, 768, 784, 896, 1024\}$ . We have  $p = 2, \gamma = 2, \beta = 5, \alpha = 8$ , and  $\psi = 2$ . Theorem 3.7 gives  $\max\{3, 2.75\} \leq \rho(\Gamma_n) \leq 6$ .

**Example 3.12.** Let  $n = p^\alpha$ ,  $\Gamma = \{p, p^2, \dots, p^\alpha\}$ . Theorem 3.7 gives  $1 \leq \rho(\Gamma_n) \leq \alpha$ . If  $p \neq 2$ , then  $2p^\alpha$  is irreducible (since, for  $r < \alpha$ ,  $2p^r$  is not congruent to any element of  $\Gamma$ , modulo  $p^\alpha$ ). Hence by Theorem 3.6,  $\rho(\Gamma_n) = \alpha$ . If instead  $p = 2$ , then  $3p^{\alpha-1} = p^{\alpha-1} + p^\alpha \in \Gamma_n$  and is irreducible (since, for  $r < \alpha - 1$ ,  $3p^r$  is not congruent to any element of  $\Gamma$ , modulo  $p^\alpha$ ). Thus  $\rho(\Gamma_n) \geq \alpha - 1$ , and we will prove equality. If we had  $\rho(\Gamma_n) = \alpha$ , then for some  $c \in \mathbb{N}$ ,  $cp^\alpha$  would be irreducible, but  $(p)(\frac{c}{2}p^\alpha)$  or  $(p)(p^{\alpha-1} + \frac{c-1}{2}p^\alpha)$  are factorizations for  $c$  even or odd, respectively.

#### 4. Singular J-Monoids

In the case of singular J-monoids, the factorization structure is determined by the interplay between public and external primes. Motivated by Theorem 2.8, we make the following definitions. For a singular J-monoid  $\Gamma_n$ , we define the abelian group  $G = G(\Gamma_n) = (\mathbb{Z}/r\mathbb{Z})^\times / [\Gamma]_r$ . We write  $G = \{g_1, g_2, \dots, g_m\}$ , where  $g_1$  is the identity, and let  $\sigma : r^\perp \rightarrow G$  denote the natural epimorphism.

We factor  $u = u_1^{a_1} u_2^{a_2} \cdots u_k^{a_k}$ , where  $u_1, \dots, u_k \in \mathbb{P}$  and  $a_1, \dots, a_k \in \mathbb{N}$ . Let  $\{e_i\}$  denote the standard basis vectors. We now define  $\theta : r^\perp \rightarrow \mathbb{N}_0^k \times \mathbb{N}_0^m$  as follows:

$$\theta(u_i) = (e_i, 0), \text{ for } u_i \in \{u_1, u_2, \dots, u_k\};$$

$$\theta(p) = (0, e_{\sigma(p)}), \text{ for } p \in (\mathbb{P} \cap n^\perp);$$

$$\theta(xy) = \theta(x) + \theta(y), \text{ for } x, y \in r^\perp.$$

For  $z \in r^\perp$ , we consider  $\theta(z) = (z', z'') = ((z'_1, \dots, z'_k), (z''_1, \dots, z''_m))$ . We have  $z \in M(u)$  if and only if  $z'_i \geq a'_i$  (for each  $1 \leq i \leq k$ ). We have  $z \in \Gamma_r$  if and only if

$$\sigma(u_1)^{z'_1} \cdots \sigma(u_k)^{z'_k} g_1^{z''_1} \cdots g_m^{z''_m} = g_1.$$

That is, if we consider the sequence in  $G$  formed by the images of all the primes dividing  $z$ , that sequence must be zero-sum (i.e. sums to  $g_1$ ) for  $[z]_r \in [\Gamma]_r$  and hence  $z \in \Gamma_r$ . These observations lead to the following result.

**Theorem 4.1.** *Let  $\Gamma_n$  be a singular J-monoid,  $N_1 = ((a_1, \dots, a_k) + \mathbb{N}_0^k) \times \mathbb{N}_0^m$ , and  $N_2 = \{(z', z'') \in \mathbb{N}_0^k \times \mathbb{N}_0^m : \prod_{i=1}^k \sigma(u_i)^{z'_i} \prod_{j=1}^m g_j^{z''_j} = g_1\}$ . Then  $N = (N_1 \cap N_2) \cup \{(0, 0)\}$  is a monoid under addition and  $\theta$  is a transfer homomorphism from  $\Gamma_n$  to  $N$ .*

**Proof.** First, since  $N_1 \cup \{(0, 0)\}$  and  $N_2 \cup \{(0, 0)\}$  are each submonoids of  $\mathbb{N}_0^k \times \mathbb{N}_0^m$  under addition, their intersection is also a submonoid of  $\mathbb{N}_0^k \times \mathbb{N}_0^m$ . The map  $\theta : \Gamma_n \rightarrow N$  is a monoid homomorphism by construction, and  $\theta(x) = (0, 0)$  if and only if  $x = 1$ . We may choose external primes  $q_1, \dots, q_m$  such that  $\sigma(q_i) = g_i$ . Hence for  $(z', z'') \in N$ , we take  $z = \prod_{i=1}^k u_i^{z'_i} \prod_{j=1}^m q_j^{z''_j}$  and have  $\theta(z) = (z', z'')$ . Thus  $\theta$  is surjective. Now, let  $z = u_1^{f_1} \cdots u_k^{f_k} p_1 \cdots p_s$ , where the  $p_i$  are not necessarily distinct external primes. Suppose now that  $\theta(z) = ((f_1, \dots, f_k), z'') = (x', x'') + (y', y'')$ , a factorization in  $N$ . For each  $g_j \in G$ , exactly  $z''_j$  of the  $\{p_1, \dots, p_s\}$  are preimages under  $\sigma$ . Arbitrarily choose  $x''_j$  of these, and let  $v_j$  denote their product. Let  $w_j$  denote the product of the remaining  $y''_j$  of them. Now set  $x = \prod_{i=1}^k u_i^{x'_i} \prod_{j=1}^m v_j$ ,  $y = \prod_{i=1}^k u_i^{y'_i} \prod_{j=1}^m w_j$ . We have  $x, y \in \Gamma_n$  by Theorem 2.6, and  $\theta(x) = (x', x'')$ ,  $\theta(y) = (y', y'')$  as desired.  $\square$

For regular J-monoids,  $u = 1$  and the problem reduces to the study of zero-sum sequences as before. For singular J-monoids, the public primes are distinguished and

there are minimal requirements for their quantity; the presence of external primes affects which quantities are permitted.

**Example 4.2.** Let  $n = 1860$  and  $\Gamma = \{124, 496, 1364, 1736\}$ . We have  $u = 31 \cdot 2^2$ ,  $r = 15$ ,  $[\Gamma]_{15} = \{[1]_{15}, [4]_{15}, [-4]_{15}, [-1]_{15}\}$  and  $G = (\mathbb{Z}/15\mathbb{Z})^\times / [\Gamma]_{15} \cong (\mathbb{Z}/2\mathbb{Z})$ . For  $p \in (\mathbb{P} \cap r^\perp)$ , we have  $\sigma(p) = g_1$  if  $p$  is congruent to one of  $\{\pm 1, \pm 4\}$  modulo 15, and  $\sigma(p) = g_2$  otherwise. We have  $N^\bullet \cong \{(a, b, c, d) \in \mathbb{N}_0^4 : a \geq 1, b \geq 2, \text{ and } 2|(b+d)\}$ . The element  $(a, b, c, d)$  is irreducible exactly when  $a = 1$  or  $b \in \{2, 3\}$ .

In some sense, the opposite extreme of the regular case is where  $\sigma(u_1) = \dots = \sigma(u_k) = g_1$ ; in this case, the zero-sum sequence component of the problem is irrelevant. In the context of ACM's, this corresponds to the case of  $M_{x,d,y,d}$ , where  $\gcd(x, y) = 1$  and each divisor of  $d$  is congruent to 1 modulo  $y$ .

**Theorem 4.3.** *Let  $\Gamma_n$  be a singular J-monoid. Suppose that  $\sigma(u_1) = \dots = \sigma(u_k) = g_1$ . Then there is a transfer homomorphism  $\tau : \Gamma_n \rightarrow M$  given by  $\tau(x) = (\nu_{u_1}(x), \dots, \nu_{u_k}(x))$ , where  $M = ((a_1, \dots, a_k) + \mathbb{N}_0^k) \cup \{0\}$ .*

**Proof.** The map  $\tau$  is a monoid homomorphism by construction, and  $\tau(x) = 0$  if and only if  $x = 1$ . For  $z \in M$ , we take  $x = \prod_{i=1}^k u_i^{z_i}$  and have  $\tau(x) = z$ ; thus  $\tau$  is surjective. Now, let  $x = m \prod_{i=1}^k u_i^{z_i} \in \Gamma_n$ , where  $\gcd(m, n) = 1$ . Since  $\sigma(x) = g_1 = \sigma(u_1) = \dots = \sigma(u_k)$ , we have  $\sigma(m) = g_1$  as well. Now, suppose that  $z = \tau(x) = (z_1, \dots, z_k) = z' + z''$ , where  $z', z'' \in M$ . Set  $x' = m \prod_{i=1}^k u_i^{z'_i}$ ,  $x'' = \prod_{i=1}^k u_i^{z''_i}$ . We have  $x', x'' \in \Gamma_n$  by Theorem 2.6, and  $\tau(x') = z', \tau(x'') = z''$ , as desired.  $\square$

Recall that in [2, Proposition 2.3] a transfer homomorphism was given from  $M(u)$  to the same  $(a_1, \dots, a_k) + \mathbb{N}_0^k$ . Consequently,  $M(u)$  and  $\Gamma_n$  share the same factorization invariants if  $\sigma(u_1) = \dots = \sigma(u_k) = g_1$ .

In the remainder of this section, we consider local J-monoids, and with three choices of restrictions, we determine full and/or accepted elasticity. Our first restriction is that  $\beta = \alpha$ .

**Theorem 4.4.** *Let  $\Gamma_n$  be a J-monoid with  $u = p^\alpha$ . Suppose that  $u \in \Gamma_n$ . Then  $\rho(\Gamma_n) = \frac{2\alpha-1}{\alpha}$  and it is accepted. Further, if  $p^k \notin \Gamma_n$  for all  $\alpha < k < 2\alpha$ , then  $\Gamma_n$  has full elasticity.*

**Proof.** Theorem 3.7 gives  $\rho(\Gamma_n) = \frac{\alpha+\beta-1}{\alpha}$ . Let  $q \in (\mathbb{P} \cap n^\perp)$  such that  $\sigma(q) = \sigma(p)$ . Consider the factorization  $(2\alpha-2)(\alpha, 0) + (\alpha, \alpha e_{\sigma(q)}) = \alpha(2\alpha-1, e_{\sigma(q)})$  in  $\mathbb{N}_0 \times \mathbb{N}_0^m$ , which has elasticity  $\frac{2\alpha-1}{\alpha}$ , as desired.

Now, let  $\frac{s}{t} \in [1, \frac{2\alpha-1}{\alpha})$ . Let  $x \in \Gamma_n$  have the two factorizations given by

$$(p^\alpha)^{t(2\alpha-1)-s\alpha} (p^{2\alpha-1}q)^{\alpha(s-t)} = (p^\alpha)^{s\alpha-s-1} (p^\alpha q)^{\alpha(s-t)}.$$

Because  $\nu_p(y) \geq \alpha$  for all irreducibles  $y$ ,  $L(x) \leq \lfloor \frac{\nu_p(x)}{\alpha} \rfloor = s\alpha - s$ , as represented on the right. Now, express any factorization of  $x$  as  $x_p x_q$ , where  $x_p$  is a product

of irreducibles that are pure powers of  $p$ , while  $x_q$  is a product of irreducibles that are multiples of  $q$ . We have  $|x| = |x_p| + |x_q|$  and  $\nu_p(x) \leq \alpha|x_p| + (2\alpha - 1)|x_q|$  since  $\nu_p(y) = \alpha$  if  $y \in x_p$  and  $\nu_p(y) \leq 2\alpha - 1$  if  $y \in x_q$ . We have  $|x_q| \leq \nu_q(x) = \alpha(s - t)$ . We now have  $|x| \geq \frac{\nu_p(x) - (2\alpha - 1)|x_q|}{\alpha} + |x_q| = \frac{1}{\alpha}(\nu_p(x) - (\alpha - 1)|x_q|) \geq \frac{1}{\alpha}(\nu_p(x) - (\alpha - 1)\alpha(s - t)) = \alpha t - t$ . Hence the minimal length factorization is represented on the left. Combining, we have  $\rho(x) = \frac{\alpha s - s}{\alpha t - t} = \frac{s}{t}$ , as desired.  $\square$

Our next restriction is that  $\alpha = 1$ .

**Theorem 4.5.** *Let  $\Gamma_n$  be a  $J$ -monoid with  $u = p$ . Then  $\rho(\Gamma_n) = \beta$  and  $\Gamma_n$  has full elasticity.*

**Proof.** Theorem 3.7 gives  $\rho(\Gamma_n) = \beta$ . Let  $q \in (\mathbb{P} \cap n^\perp)$  such that  $\sigma(q) = \sigma(p)^{-1}$ . Let  $\frac{s}{t} \in [1, \beta)$ . Let  $x \in \Gamma_n$  have the two factorizations given by

$$(pq)^{\beta s - \beta t + 1} (p^\beta)^{\beta t - s - 1} = (p^\beta)^{\beta t - t - 1} (pq)^{\beta s - \beta t + 1}.$$

By Theorem 3.6,  $\nu_p(y) \leq \beta$  for all irreducibles  $y$ . Hence  $l(x) \geq \lceil \frac{\nu_p(x)}{\beta} \rceil = \beta t - t - 1$ , as represented on the right. Now, express any factorization of  $x$  as  $x_p x_q$ , where  $x_p$  is a product of irreducibles that are pure powers of  $p$ , while  $x_q$  is a product of irreducibles that are multiples of  $q$ . We have  $|x| = |x_p| + |x_q|$  and  $\nu_p(x) \geq \beta|x_p| + |x_q|$  since  $\nu_p(y) \geq \beta$  if  $y \in x_p$  and  $\nu_p(y) \geq \alpha = 1$  if  $y \in x_q$ . We have  $|x_q| \leq \nu_q(x) = \beta s - \beta t + 1$ . We now have  $|x| \leq \frac{\nu_p(x) - |x_q|}{\beta} + |x_q| = \frac{1}{\beta}(\nu_p(x) + (\beta - 1)|x_q|) \leq \frac{1}{\beta}(\nu_p(x) + (\beta - 1)(\beta s - \beta t + 1)) = \beta s - s$ . Hence the maximal length factorization is represented on the left. Combining, we have  $\rho(x) = \frac{\beta s - s}{\beta t - t} = \frac{s}{t}$ , as desired.  $\square$

In general, the question of accepted elasticity in local ACM's (and hence local  $J$ -monoids) is difficult; see, e.g. [10]. We give one more result in this direction, similar to [10, Proposition 9], under a restriction based on  $\sigma(p)$  and the structure of  $G$ . We recall that  $G = (\mathbb{Z}/r\mathbb{Z})^\times / [\Gamma]_r$ .

**Theorem 4.6.** *Let  $\Gamma_n$  be a  $J$ -monoid with  $u = p^\alpha$  and set  $g = \sigma(p)^{-1}$ . Suppose there is some  $h \in G$  such that  $|h| = |g| = \beta$  and  $\langle h \rangle \cap \langle g \rangle = \{g_1\}$ . Then the elasticity of  $\Gamma_n$  is accepted.*

**Proof.** Let  $q \in (\mathbb{P} \cap n^\perp)$  such that  $\sigma(q) = h$ , and let  $r \in (\mathbb{P} \cap n^\perp)$  such that  $\sigma(r) = h^{-1}g$ .

We have the factorization

$$\begin{aligned} & \alpha(\alpha + \beta - 1, (\alpha + 2\beta - 1)e_{\sigma(q)} + (\alpha - 1)e_{\sigma(r)}) + \\ & + \alpha(\alpha + \beta - 1, (\alpha - 1)e_{\sigma(q)} + (\alpha + 2\beta - 1)e_{\sigma(r)}) = \\ & = (2\alpha + 2\beta - 2)(\alpha, \alpha(e_{\sigma(q)} + e_{\sigma(r)})). \end{aligned}$$

We first show that each term is in  $N$ , as defined in Theorem 4.1.  $(\alpha + \beta - 1, (\alpha + 2\beta - 1)e_{\sigma(q)} + (\alpha - 1)e_{\sigma(r)})$  corresponds to  $(g^{-1})^{\alpha + \beta - 1} h^{\alpha + 2\beta - 1} (h^{-1}g)^{\alpha - 1} =$

$g^{-\beta}h^{2\beta} = g_1$ . The next term is similar, and the last corresponds to  $(g^{-1})^\alpha h^\alpha (h^{-1}g)^\alpha = g_1$ . We now show that  $p^{\alpha+\beta-1}q^{\alpha+2\beta-1}r^{\alpha-1}$  is irreducible in  $\Gamma_n$ . Suppose we factor it as  $xy$ ; then  $\nu_p(x) \in [\alpha, \beta - 1]$ . But since  $\sigma(x) = g_1$ ,  $\nu_r(x) \equiv \nu_p(x) \pmod{|g|}$ , which is impossible since  $\nu_r(x) \leq \alpha - 1$ . Similarly,  $p^{\alpha+\beta-1}q^{\alpha-1}r^{\alpha+2\beta-1}$  is irreducible, and hence this factorization has elasticity  $\frac{2\alpha+2\beta-2}{2\alpha} = \rho(\Gamma_n)$ .  $\square$

### 5. Semi-Regular $\Gamma_n$

We conclude with some rather meager results on semi-regular congruence monoids. This class of CM's has a very rich structure, is disjoint from ACM's, and has the most opportunity for further work.

Of our earlier elasticity results, only Theorems 3.1 and 3.2 apply for semi-regular CM's, which determine when the elasticity is infinite. To refine this, for a semi-regular CM  $\Gamma_n$ , we define  $\Gamma_n^\perp = \Gamma \cap n^\perp$  and  $\Gamma_n^\circ = \Gamma \setminus \Gamma^\times = \Gamma \setminus n^\perp$ ; each is nonempty since  $\{[1]_n, [u^{\phi(r)}]_n\} \subseteq [\Gamma]_n$  by Lemma 2.3 and Theorem 2.5, respectively. We now use this notation to present two lower bounds for  $\rho(\Gamma_n)$  in Theorems 5.1 and 5.2.

**Theorem 5.1.** *Let  $\Gamma_n$  be a semi-regular congruence monoid. Then  $\Gamma_n^\perp$  is a regular congruence monoid and  $\rho(\Gamma_n) \geq \rho(\Gamma_n^\perp)$ .*

**Proof.** First, let  $g_1, g_2 \in \Gamma_n^\perp \subseteq \Gamma_n$ . Hence  $g_1g_2 \in \Gamma_n$ ; but also  $g_1g_2 \in n^\perp$ . So, in fact,  $g_1g_2 \in \Gamma_n^\perp$ , and hence  $\Gamma_n^\perp$  is a congruence monoid. By construction,  $\Gamma_n^\perp$  is regular.

Suppose that  $x = yz$  with  $x, y, z \in \Gamma_n$ , and further  $x \in \Gamma_n^\perp$ . Then also  $y, z \in \Gamma_n^\perp$  because otherwise  $y$  (say) has  $y \notin n^\perp$ . Then, some public prime divides  $y$  and hence  $x$ , a contradiction. Thus  $\rho(x)$  in  $\Gamma_n$  agrees with  $\rho(x)$  in  $\Gamma_n^\perp$ . Since this holds for all  $x \in \Gamma_n^\perp$ , the conclusion follows.  $\square$

Consequently, if  $\Gamma_n$  is a half-factorial semi-regular CM, then  $\Gamma_n^\perp$  is a half-factorial regular CM and Proposition 2.2 applies.

**Theorem 5.2.** *Let  $\Gamma_n$  be a semi-regular congruence monoid. Then  $\Gamma_n^\circ$  is a congruence monoid that is not weakly regular. Further, if  $\Gamma_n^\circ$  is a J-monoid, then*

- (1) *If  $u = p^\alpha$  is a prime power, then  $\rho(\Gamma_n) \geq \frac{\alpha+\beta-1}{\beta}$ , where  $\beta$  is minimal such that  $p^\beta \in \Gamma_n$ .*
- (2) *If  $u$  is not a prime power, then  $\rho(\Gamma_n) = \infty$ .*

**Proof.** First, let  $g_1, g_2 \in \Gamma_n^\circ \subseteq \Gamma_n$ . Then  $g_1g_2 \in \Gamma_n$ . But also  $g_1g_2 \notin n^\perp$ ; so in fact,  $g_1g_2 \in \Gamma_n^\circ$ , and hence  $\Gamma_n^\circ$  is a congruence monoid. By construction,  $\Gamma_n^\circ$  is not weakly regular, and shares  $u, r$  (though not necessarily  $d$ ) with  $\Gamma_n$ . If  $\Gamma_n^\circ$  is a local J-monoid, then it also shares  $\alpha, \beta$  with  $\Gamma_n$ .

Next, suppose that  $\Gamma_n^\circ$  is a J-monoid and  $u = u_1u_2$  for some  $u_1, u_2 > 1$  with  $\gcd(u_1, u_2) = 1$ . For each  $m \in \mathbb{N}$ , set  $x_m = (u^{\phi(r)}u_1^{m\phi(r)})(u^{\phi(r)}u_2^{m\phi(r)}) =$

$(u^{\phi(r)})^{(m+2)}$ . Note that since  $x_m$  consists entirely of public primes, all irreducibles dividing  $x_m$  in  $\Gamma_n$  must actually be contained in  $\Gamma_n^\circ$  (and hence  $\rho(x_m)$  is the same in both). By Theorem 2.6, each of  $(u^{\phi(r)}u_1^{m\phi(r)})$ ,  $(u^{\phi(r)}u_2^{m\phi(r)})$ ,  $(u^{\phi(r)}) \in \Gamma_n^\circ$ , although they might not be irreducible. However,  $L(u^{\phi(r)}u_1^{m\phi(r)}) \leq \phi(r)$  and  $L(u^{\phi(r)}u_2^{m\phi(r)}) \leq \phi(r)$ , by considering the primes in  $u_2, u_1$ , respectively, since  $u$  must divide every irreducible. Hence  $2 \leq l(x_m) \leq 2\phi(r)$  and  $L(x_m) \geq m + 2$ . So we conclude that  $\rho(x_m) \geq \frac{m+2}{2\phi(r)}$ . Letting  $m \rightarrow \infty$ , we conclude that  $\rho(\Gamma_n) = \rho(\Gamma_n^\circ) = \infty$ .

Next, suppose that  $\Gamma_n^\circ$  is a J-monoid, with  $u = p^\alpha$ . By the comments following Theorem 3.7, we have  $\rho(\Gamma_n^\circ) = \frac{\alpha+\beta-1}{\alpha}$ . By Theorem 3.6, there is some irreducible  $z \in \Gamma_n^\circ$  with  $\nu_p(z) = \alpha + \beta - 1$ . Suppose first that  $p^{\alpha+\beta-1} \equiv 1 \pmod{r}$ . Then we consider  $x = (p^{\alpha+\beta-1})^\beta = (p^\beta)^{\alpha+\beta-1}$ . Since all factors of  $x$  are public primes, every irreducible dividing  $x$  is from  $\Gamma_n^\circ$ . Hence the elasticity of  $x$  in  $\Gamma_n$  agrees with the elasticity of  $x$  in  $\Gamma_n^\circ$ , which is  $\frac{\alpha+\beta-1}{\beta}$ . Lastly, we consider the case where  $p^{\alpha+\beta-1} \not\equiv 1 \pmod{r}$ . We may write  $z = p^{\alpha+\beta-1}s$ , for some  $s \in (\mathbb{P} \cap m^\perp)$  and  $s \not\equiv 1 \pmod{r}$ . Now, set  $x = (p^{\alpha+\beta-1}s)^{\phi(n)\beta} = (p^\beta)^{\phi(n)(\alpha+\beta-1)}(s^{\phi(n)})^\beta$ . Within  $\Gamma_n$ , factors of  $(p^{\alpha+\beta-1}s)$  must of necessity be both from  $\Gamma_n^\circ$ , apart from  $(p^{\alpha+\beta-1})(s)$ , which is excluded since  $p^{\alpha+\beta-1} \notin \Gamma_n^\circ$ . Hence  $p^{\alpha+\beta-1}$  is irreducible in  $\Gamma_n$ , and thus  $l(x) \leq \phi(n)\beta$ . Each of  $p^\beta, s^{\phi(n)} \in \Gamma_n$ , and hence  $L(x) \geq \phi(n)(\alpha + \beta - 1) + \beta$ . Combining, we have  $\rho(x) \geq \frac{\phi(n)(\alpha+\beta-1)+\beta}{\phi(n)\beta} > \frac{\alpha+\beta-1}{\beta}$ .  $\square$

Note that Theorem 5.2 leaves open the possibility that  $\Gamma_n^\circ$  is a J-monoid and  $\frac{\alpha+\beta-1}{\beta} \leq \rho(\Gamma_n) < \rho(\Gamma_n^\circ) = \frac{\alpha+\beta-1}{\alpha}$ . We wonder if this is possible.

We conclude with a variation of Theorem 5.2 that provides a family of examples that have infinite and full elasticity; in contrast, it was shown in [6, Theorem 2.3] that no ACM has infinite and full elasticity.

**Theorem 5.3.** *Let  $\Gamma_n$  be a semi-regular congruence monoid. Suppose that  $u \in \Gamma_n$  and that  $\Gamma_n^\circ$  is a J-monoid with infinite elasticity. Then  $\Gamma_n$  has infinite and full elasticity.*

**Proof.** Since  $\Gamma_n^\circ$  is a J-monoid with infinite elasticity, we have  $u = u_1u_2$  for some  $u_1, u_2 > 1$  with  $\gcd(u_1, u_2) = 1$ . Set  $x_m = (uu_1^{2m\phi(r)})(uu_2^{2m\phi(r)}) = (u)^{2m\phi(r)+2}$ . Since  $x_m$  consists entirely of public primes, all irreducibles dividing  $x_m$  must actually be contained in  $\Gamma_n^\circ$ , and  $\rho(x_m)$  agrees in both. By Theorem 2.6,  $(uu_1^{2m\phi(r)})$ ,  $(uu_2^{2m\phi(r)}) \in \Gamma_n^\circ$ . Further, by considering the primes in  $u_2, u_1$  respectively, each is irreducible, as is  $u$ . Therefore  $L(x_m) = 2m\phi(r)+2$  and  $l(x_m) = 2$ . Now, by Theorem 2.4, there is some prime  $\pi \in \Gamma_n$ . Let  $\frac{s}{t} \geq 1$ . We consider  $x = \pi^{2t\phi(r)-2}x_{s-t}$ . We have  $\rho(x) = \frac{L(x_{s-t})+2t\phi(r)-2}{l(x_{s-t})+2t\phi(r)-2} = \frac{s}{t}$ , as desired.  $\square$

Many problems involving arithmetic of congruence monoids remain open:

- (1) Characterizing half-factoriality for non-regular, non-J-monoids.
- (2) Computing elasticity (or even good bounds) when  $d$  is a prime power, but  $u$  is not.

- (3) Computing elasticity (or even good bounds) for semi-regular CM's.
- (4) Computing elasticity (or even good bounds) for CM's that are not semi-regular, but have  $d = 1$ .
- (5) Determining accepted and full elasticity, apart from the several classes considered above.
- (6) Determining various other nonunique factorization invariants such as delta sets, catenary degree, etc.

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