# Broadcasting 

Jean Mark Gawron

Linguistics 572
San Diego State University

September 27, 2020

## Dimension compatibility

When operating on two arrays, NumPy compares their shapes element-wise. It starts with the trailing dimensions and works its way forward. Two dimensions are compatible when
(1) they are equal; or
(2) one of them is 1 .

## Arrays of different dimensionality

All dimensions of lower dimensionality array match trailing dimensions of the other. Scale the 3 color layers in an RGB image by different amounts:

Image (3d array): $256 \times 256 \times 3$
Scales (1d array): $\quad 3 \quad\left[\begin{array}{lll}1 . & .9 & 1.4\end{array}\right]$
Result (3d array): $256 \times 256 \times 3$

## Trailing dimensions don't match

```
>>> import numpy as np
>>> A, B = np.arange(20).reshape((5,4)),np.arange(4)
>>> Result1 = A * B
>>> C = np.arange(5)
>>> Result2 = A * C
Traceback (most recent call last):
    File "<stdin>", line 1, in <module>
    ValueError: operands could not be broadcast together with shap
```


## Two mismatched 1D arrays

ValueError: operands could not be broadcast together
>>> A1d, B1d = np.arange(4), np.arange (5)
>>> A1d + B1d
Traceback (most recent call last):
File "<stdin>", line 1, in <module>
ValueError: operands could not be broadcast together with shape

## Examples

| A | 5 | $\times$ | 4 |  |  |  | A | 5 | $\times$ | 4 |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| B |  |  | 4 |  |  | B |  |  | 1 | Scalar! |  |  |
| Result | 5 | $\times$ | 4 |  |  |  | Result | 5 | $\times$ | 4 |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| A | 15 | $\times$ | 3 | $\times$ | 5 |  | A | 15 | $\times$ | 3 | $\times$ | 5 |
| B | 15 | $\times$ | 1 | $\times$ | 5 | B |  |  | 3 | $\times$ | 5 |  |
| Result | 15 | $\times$ | 3 | $x$ | 5 | Result | 15 | $\times$ | 3 | $x$ | 5 |  |

## Multiply by 5

$$
\begin{gathered}
5 \\
\\
\Downarrow \\
{\left[\begin{array}{llll}
5 & 5 & 5 & 5 \\
5 & 5 & 5 & 5
\end{array}\right]+\left[\begin{array}{llll}
1 & 2 & 3 & 4 \\
5 & 6 & 7 & 8
\end{array}\right]}
\end{gathered}
$$

## Two Matched 2D arrays

```
>>> a = np.arange(4) [:,np.newaxis]
>>> b = np.arange(5) [np.newaxis,:]
>>> print(a,a.shape)
[[0]
    [1]
    [2]
    [3]] (4, 1)
>>> print(b,b.shape)
    [[00
>>> print((a+b).shape)
(4, 5)
```


## "Outer" addition

a is $4 \times 1, \mathrm{~b}$ is $1 \times 5$.

$$
\begin{aligned}
& \text { >>> print (a + b) } \\
& \text { [[00cccl} 0 \\
& {\left[\begin{array}{lllll}
1 & 2 & 3 & 4 & 5
\end{array}\right]} \\
& {\left[\begin{array}{lllll}
2 & 3 & 4 & 5 & 6
\end{array}\right]} \\
& {\left[\begin{array}{lllll}
3 & 4 & 5 & 6 & 7
\end{array}\right]}
\end{aligned}
$$

## Broadcasting: size-one dimensions copied



## Shapes?

>>> import numpy as np
>>> a1d, b1d = np.arange (4), np.arange (5)
>>> a, b = a1d.reshape((4,1)), b1d.reshape(( 5,1 ))
>>> a1d_p_b = a1d + b
>>> a_p_b1d = a + b1d

## Values

>>> print(a.shape, b.shape)
$(4,1)(5,1)$
>>> a1d_p_b
$\operatorname{array}([[0,1,2,3]$,
$[1,2,3,4]$,
$[2,3,4,5]$,
$[3,4,5,6]$,
$[4,5,6,7]])$
>>> a_p_b1d
$\operatorname{array}([[0,1,2,3,4]$,
$[1,2,3,4,5]$,
$[2,3,4,5,6]$,
$[3,4,5,6,7]])$

## K Nearest Neighbors

(1) To illustrate the power of broadcasting with a somewhat more practical example, we'll compute the K Nearest Neighbors (KNN) for a set of points, where is an integer.
(2) Typically the KNN problem is solved for points in a high dimensional space; each point represents a sample; each coordinate represents a value for some numerical feature of the sample. For presentational purposes we'll solve KNN for a small set of 2D points.
(3) The KNN problem is important in a number of applications. For example, in a machine learning context, one strategy for classifying point $X$ is to find the KNNs of $X$ from among a set of points whose classes are known, and let the KNNs "vote" on the class of $X$.

## VanderPlas's K-Nearest Neighbor calculation

>>> $Y=(n p \cdot \operatorname{array}([n p \cdot \operatorname{arange}(5), n p \cdot \operatorname{arange}(5,0,-1)])) \cdot T$
>>> Y
$\operatorname{array}\left(\left[\begin{array}{l}{[5]} \\ 5\end{array}\right)\right.$
$[1,4]$, $[2,3]$, $[3,2]$,
$[4,1]])$
>>> Y[:, np.newaxis, :].shape, Y[np.newaxis, :, :].shape
$((5,1,2),(1,5,2))$
>>> deltas = Y[:,np.newaxis,:] - Y[np.newaxis,:,:] \# (5, 5, 2)
>>> deltas[0,:,1] \# Y-coord diffs for Pt 0
$\operatorname{array}([0,1,2,3,4])$

## The points

$\operatorname{dist}(\mathrm{Y}[i], \mathrm{Y}[j])^{2}=(\operatorname{deltas}[i][j][0])^{2}+(\operatorname{deltas}[i][j][1])^{2}$


## Distance calc completed (looplessly)

```
>>> dists = np.sum(deltas**2, axis=2) # x**2 lyr + y**2 lyr
>>> print(dists) # dists[i,j] = sqd dist from i to j
[[[ 0
    [ 2 0 0
    [\begin{array}{lllll}{8}&{2}&{0}&{2}&{8}\end{array}]
    [18
    [32 18 8 2 0]}
>>> nearest = np.argsort(dists, axis=1)
>>> print(nearest) # nearest[i,:] = sorted nbrs of point i
[[00
    [11 0
    [2
    [3
    [4
```


## Finding the $K$ nearest $(k=2)$

Less work: Partition the set of points into the top $K+1(k=2)$ and all the rest:
>>> nearest_k = np.argpartition(dists, kth = 3, axis=1)
>>> print(nearest_k) \# nearest [i,:k] = unsorted $K$ nearest nbrs
[[11 $\left.\begin{array}{lllll}1 & 2 & 3 & 4\end{array}\right]$
$\left[\begin{array}{lllll}1 & 2 & 0 & 3 & 4\end{array}\right]$
$\left[\begin{array}{lllll}3 & 2 & 1 & 0 & 4\end{array}\right]$
[3 $\left.24 \begin{array}{llll}3 & 1 & 0\end{array}\right]$
$\left[\begin{array}{lllll}3 & 4 & 2 & 1 & 0\end{array}\right]$

## Takeaways

(1) Basic 'numpy' op: elementwise arithmetic between 'ndarray's of the same shape.
(2) Broadcasting licenses stretching operations on dimensions of size 1 , or lower dimensionality arrays when "trailing" dimensions match.
(3) The broadcasting operation can be used to achieve the effect of a loop, performing a single operation on all the elements. To achieve this we sometimes increase the dimensionality of the data (':newaxis'].
(c) Complementing broadcasting (in the KNN example):

- U-functions (universal functions) ['deltas**2']
- Aggregation operations ['np.sum(deltas**2, axis=2)']

